

Principled codes of conduct, etc., pertaining to Lundbeck Foundation grants

The Lundbeck Foundation, hereafter referred to as “the Foundation,” at a meeting on 11 March 2005 of the Board of Trustees, adopted the following rules and principled codes of conduct into its grant-making policy. They are based in accordance with the Danish Public Administration Act and are inspired by the Research Forum’s report no. 1317 of 1996 and the Danish Medical Research Council’s specifications of 1998.

The rules and codes of conduct are developed to ensure a continued, balanced presence of professional expertise, transparency and impartiality (conflict of interest) in the Foundation’s decisions.

The rules apply to members of the Foundation’s Board of Trustees, managing director and administration, and any affiliated external advisors (experts / funding committee members), hereafter referred to as “Members.”

1. Members’ disqualification

A Member will be disqualified from the decision-making process if he/she, or a member of his/her family can be considered to have a personal or economic interest in the outcome of a decision. The term family applies to a Member’s parents, children, spouse, in-laws, nieces and nephews. If a Member has a cohabitational relationship with a partner who is disqualified, it will likewise disqualify the Member. In this case, the partner’s family is subject to the same rules as a Member’s biological family.

If a grant application comes from a private entity or an employee of a private entity, any Member who serves on that entity’s board of directors, or is employed by that entity, will likewise be disqualified.

A Member is disqualified if he/she is employed in a public institution (such as faculty, institute, hospital, department) in which his/her superior is the applicant, or if the Member has supervisory responsibility for the applicant. In certain cases in which a decision is of crucial importance for a particular place of employment, any employee who also is a Member will likewise be disqualified.

A Member is disqualified in cases in which the applicant is in a research group that includes the Member, or in certain cases recently has included the Member, or the application pertains to the continuation of a project that the Member has previously participated in.

A Member is disqualified if the applicant is a person to whom the Member is currently providing supervision, or has recently provided supervision, or has chosen to provide supervision, or has recommended the applicant.

A Member is disqualified if any other circumstances exist that put the Member’s impartiality in question.

In the case of a Member’s disqualification, the Member may neither participate in the discussions nor the final decision, nor in any part of the case-handling process.

2. Members' access to grants

Neither Members nor their immediate families, namely spouses and children, may receive grants from the Foundation.

However, in certain cases, the Foundation's Board of Trustees may accept an application in which a Member's spouse or child is associated with a project as one among many, and not as a principal applicant.

3. Relationship to H. Lundbeck A/S and other companies controlled by the Foundation

Applications for support of a project that is tied to the economic interests of H. Lundbeck A/S or other companies controlled by the Foundation/LFI a/s, such as clinical research including one of the company's products, as a rule will not be accepted, but will be forwarded to the company in question, which subsequently can decide whether to support the project by itself.

4. Applications from persons of authority

Applications from persons of authority who may have an influence on circumstances affecting the Lundbeck Group may not receive grants from the Foundation.